

PATENT Attorney Docket No.: COOL-01400

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re	Apr	olicatio	on of:

James Lovette et al.

Serial No.: 10/612,241

Filed: July 1, 2003

For: MULTI-LEVEL MICROCHANNEL )

**HEAT EXCHANGERS** 

Group Art Unit: 3743

Examiner: Tho V. Duong

TRANSMITTAL LETTER

162 N. Wolfe Road Sunnyvale, CA 94086

(408) 530-9700

Customer No. 28960

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated. 9-30

Thomas B. Haverstsock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 9-30-05 By: Jun & Punt



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**DISCLOSURE STATEMENT UNDER 37** CFR § 1.97(e)

SUPPLEMENTAL INFORMATION

162 N. Wolfe Road Sunnyvale, CA 94086

Group Art Unit: 3743

Examiner: Tho V. Duong

**CERTIFICATION FOR** 

(408) 530-9700

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

#### Certification

I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement was cited within an Official Action mailed on August 9, 2005. Accordingly, I hereby certify that the reference contained within this supplemental information disclosure statement was not cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, the reference contained within this supplemental information disclosure statement was not known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

Attorney Docket No.: <u>COOL-01400</u>

# **Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

- 2 -

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 9-30-05

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP.

Date: 9-30-05 Bv: Jun D. Ruse



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Group Art Unit: 3743

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Sunnyvale, CA 94086

Examiner: Tho V. Duong

SUPPLEMENTAL INFORMATION

**DISCLOSURE STATEMENT** 

In re Application of:

James Lovette et al.

Serial No.: 10/612,241

Filed: July 1, 2003

For: MULTI-LEVEL MICROCHANNEL

**HEAT EXCHANGERS** 

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

• Japanese Patent Abstract JP 1-256775.

Attorney Docket No.: <u>COOL-01400</u>

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 9-30-05

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFK) 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 9-30-05 Bv: Jun O. Rum

FORM PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: COOL-01400

Serial No.: 10/612,241

INFORMATION DISCLOSTEE STATEMENT BY APPLICANT

Applicants: James Lovette et al.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT Sheets if Necessary)				Applicants: James Lovette et al.					
(37 CFR § 1.98(b))				Filing Date: July 1, 2003		Group Art Unit: 3743			
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